

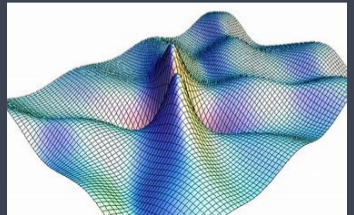
CONSERVED CURRENTS FOR THE SINE-GORDON MODEL, THEIR RENORMALIZABILITY AND SUMMABILITY IN PAQFT

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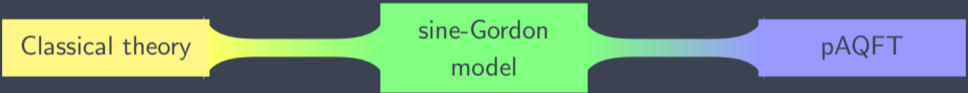
Institute: University of Potsdam

Location: Algebraic, analytic, geometric structures emerging from
quantum field theory, Chengdu

Date: March 11th, 2024



The sine-Gordon model in perspective I



Classical theory

- ⊙ Spacetime: 2-D Minkowski space $\mathbb{M}_2 = (\mathbb{R}^2, \eta)$, with signature $(+, -)$. Light-cone coordinates (τ, ξ) are expressed in terms of cartesian coordinates (t, \vec{x}) by

$$\tau = \frac{1}{2}(\vec{x} + t), \quad \xi = \frac{1}{2}(\vec{x} - t).$$

- ⊙ Configurations: $\varphi \in \mathcal{E}(\mathbb{M}_2) := \Gamma^\infty(\mathbb{M}_2 \leftarrow E = \mathbb{M}_2 \times \mathbb{R}) = C^\infty(\mathbb{M}_2)$.
- ⊙ Lagrangian: horizontal 2-form on $J^1 E$ with scalar density given by

$$L = L_0 + L_{\text{int}} = \frac{1}{2} [(\partial_t \varphi)^2 - (\partial_{\vec{x}} \varphi)^2] + \cos(a\varphi) \quad \longleftrightarrow \quad L = \varphi_\tau \varphi_\xi + \cos(a\varphi), \quad a > 0.$$

- ⊙ Euler-Lagrange equation: also called sine-Gordon equation

$$-\square \varphi - a \sin(a\varphi) = -(\partial_t^2 - \partial_{\vec{x}}^2) \varphi - a \sin(a\varphi) = 0 \quad \longleftrightarrow \quad \varphi_{\xi\tau} - a \sin(a\varphi) = 0.$$



Remark: Subscripts τ and ξ indicate partial derivation.

Conservation laws

n **Fact:** From the theory of **integrable systems**, it is well-known that the sine-Gordon model admits an **infinite** number of on-shell conserved currents.

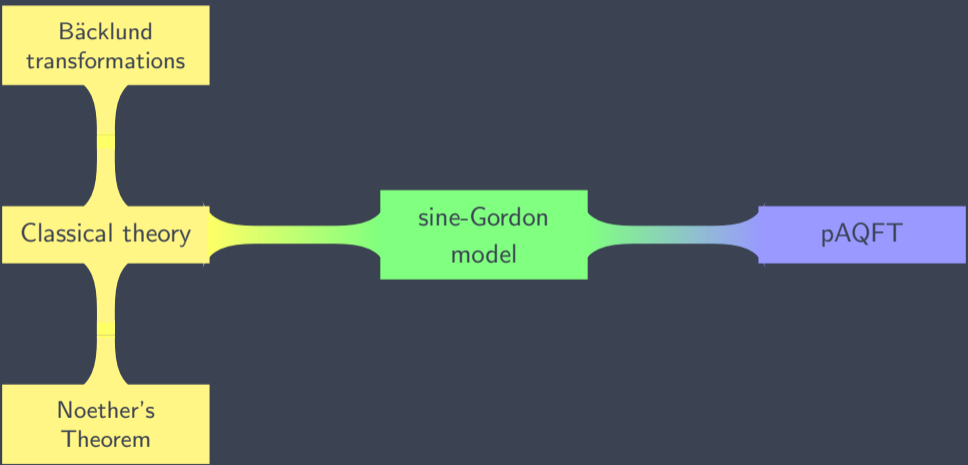
Definition

An on-shell conserved current is (in this setting) a horizontal 1-form $\rho = \rho_1 d\tau + \rho_2 d\xi$ on $J^k E$, for some $k \in \mathbb{N}$, such that

$$d((j^k \varphi)^* \rho) = 0, \tag{1}$$

whenever φ is a solution of the sine-Gordon equation. Equation (1) is called an on-shell conservation law.

The sine-Gordon model in perspective II



Bäcklund transformations

Definition

$\varphi' \in \mathcal{E}(\mathbb{M}_2)$ is obtained from a given $\varphi \in \mathcal{E}(\mathbb{M}_2)$ by a Bäcklund transformation B_α of parameter $\alpha \in \mathbb{R}$, in notation $\varphi' = B_\alpha \varphi$, if φ' satisfies the parametric system of first order PDEs:

$$\frac{1}{2}(\varphi' + \varphi)_\xi = \frac{1}{\alpha} \sin \left[\frac{a}{2}(\varphi' - \varphi) \right] \quad (2)$$

$$\frac{1}{2}(\varphi' - \varphi)_\tau = \alpha \sin \left[\frac{a}{2}(\varphi' + \varphi) \right]. \quad (3)$$

 **Remark:** Bäcklund transformations relate solutions of the sine-Gordon equation!

Definition

$\varphi' \in \mathcal{E}(\mathbb{M}_2)$ is obtained from a given $\varphi \in \mathcal{E}(\mathbb{M}_2)$ by an extended Bäcklund transformation \hat{B}_α of parameter $\alpha \in \mathbb{R}$, in notation $\varphi' = \hat{B}_\alpha \varphi$, if φ' satisfies (2).

The higher conserved currents

Extended Bäcklund transformations can be interpreted as “Lagrangian symmetries”. The application of **Noether’s Theorem** yields a family of on-shell conserved currents.

Proposition

The components of the on-shell conserved currents $s^N = s_1^N d\tau + s_2^N d\xi$, $N \in \mathbb{N}$, have the form:

$$s_2^N = 2 \sum_{\mu=0}^N (-1)^\mu \left(\frac{a}{2}\right)^{2(\mu+1)} \sum_{\substack{n_0, \dots, n_{2(N-\mu)} \geq 0 \\ n_0 + \dots + n_{2(N-\mu)} = 2(\mu+1) \\ 1 \cdot n_1 + \dots + 2(N-\mu) \cdot n_{2(N-\mu)} = 2(N-\mu)}} \frac{A_1^{n_0} \dots A_{2(N-\mu)+1}^{n_{2(N-\mu)}}}{n_0! \dots n_{2(N-\mu)}!}.$$

The higher conserved currents

Proposition

$$s_1^N = - \left[2 \sum_{\beta=1}^N (-1)^\beta \left(\frac{a}{2}\right)^{2\beta} \sum_{\substack{n_1, \dots, n_{2N} \geq 0 \\ n_1 + \dots + n_{2N} = 2\beta \\ 1 \cdot n_1 + \dots + 2N \cdot n_{2N} = 2N}} \frac{A_1^{n_1} \dots A_{2N}^{n_{2N}}}{n_1! \dots n_{2N}!} \right] \cos(a\varphi) \\ - \left[2 \sum_{\beta=0}^{N-1} (-1)^{\beta+1} \left(\frac{a}{2}\right)^{2\beta+1} \sum_{\substack{n_1, \dots, n_{2N} \geq 0 \\ n_1 + \dots + n_{2N} = 2\beta+1 \\ 1 \cdot n_1 + \dots + 2N \cdot n_{2N} = 2N}} \frac{A_1^{n_1} \dots A_{2N}^{n_{2N}}}{n_1! \dots n_{2N}!} \right] \sin(a\varphi),$$

where the coefficient of $\sin(a\varphi)$ is defined only for $N \geq 1$.

A notion of degree

$$\begin{cases} s_1^0 = -2 \cos(a\varphi), \\ s_2^0 = \varphi_\xi^2, \end{cases} \quad \begin{cases} s_1^1 = \varphi_\xi^2 \cos(a\varphi) + \frac{2}{a} \varphi_{\xi\xi} \sin(a\varphi), \\ s_2^1 = \frac{1}{4} \varphi_\xi^4 + \frac{2}{a^2} \varphi_\xi \varphi_{\xi\xi\xi} + \frac{1}{a^2} \varphi_{\xi\xi}^2. \end{cases}$$

Definition

Consider $\varphi \in C^\infty(\mathbb{M}_2)$. Assign a degree to the k -th derivative w.r.t. ξ , by:

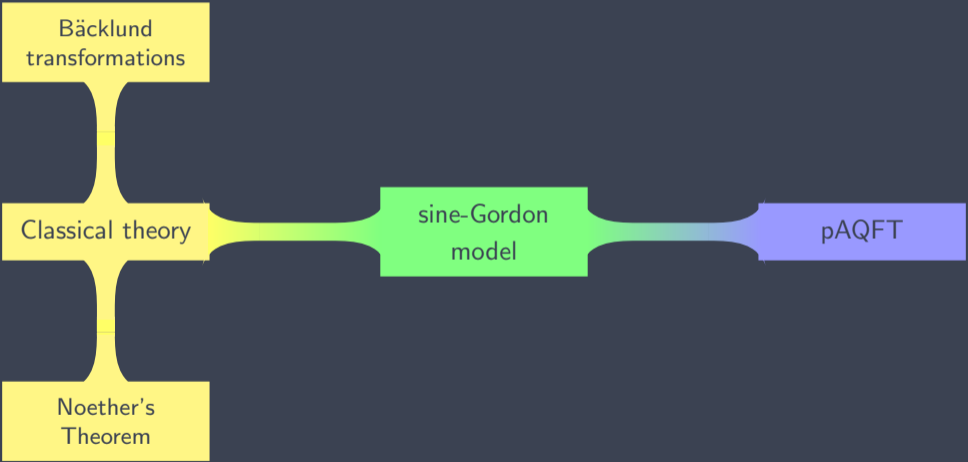
$$\deg(\varphi_{k\xi}) = k, \quad \forall k \in \mathbb{N}.$$

Extend to monomials in the derivatives of φ by additivity. A polynomial in the derivatives of φ is homogeneous of degree d if all its terms have degree d .

Proposition

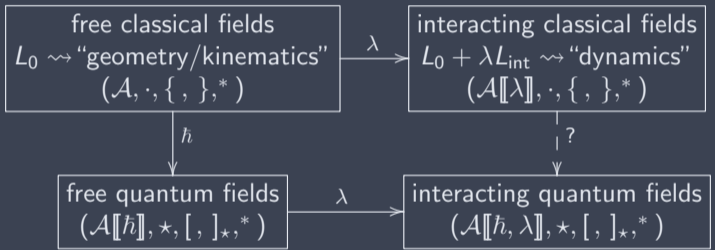
The components of s^N have homogeneous degrees $\deg(s_1^N) = 2N$ and $\deg(s_2^N) = 2(N+1)$.

The sine-Gordon model in perspective III



The general philosophy of pAQFT

A classical field theory is essentially described by its Lagrangian $L = L_0 + L_{\text{int}}$.



⊙ \hbar -deformation \longrightarrow (Formal) Deformation quantization.

⊙ λ -deformation \longrightarrow Perturbation.

(Formal) Deformation quantization

free classical fields
 $\mathcal{A} = \{F: \mathcal{E}(\mathbb{M}_2) \rightarrow \mathbb{C}, \mu_{\text{causal}}\}$

\hbar

free quantum fields
 $\mathcal{A}[[\hbar]] = \{\sum_{n=0}^{\infty} A_n \hbar^n \mid A_n \in \mathcal{A}\}$

⊙ Classical product: commutative

$$\forall F, G \in \mathcal{A} \rightarrow F \cdot G \in \mathcal{A}$$

$$(F \cdot G)[\varphi] = F[\varphi]G[\varphi], \quad \varphi \in \mathcal{E}(\mathbb{M}_2).$$

⊙ Star product: non-commutative

$$\forall F, G \in \mathcal{A} \rightarrow F \star G \in \mathcal{A}[[\hbar]]$$

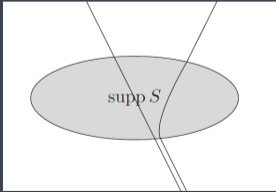
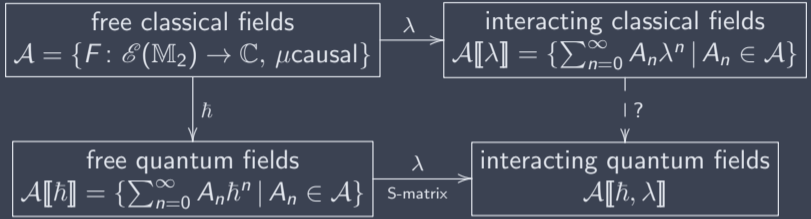
$$F \star G \xrightarrow{\hbar \rightarrow 0} F \cdot G.$$

Microlocal analysis: imposing special requirements on the **wavefront sets**, define

$$(F \star G)[\varphi] = \sum_{n=0}^{\infty} \frac{\hbar^n}{n!} \left\langle F^{(n)}[\varphi], (W^{\otimes n}) * G^{(n)}[\varphi] \right\rangle, \quad W \in \mathcal{D}'(\mathbb{M}_2).$$

Fact: Deformation of Poisson \ast -algebras $(\mathcal{A}[[\hbar]], \star, [,]_{\star}, \ast) \xrightarrow{\hbar \rightarrow 0} (\mathcal{A}, \cdot, \{, \}, \ast)$.

Perturbation



⊙ **S-matrix:** $S(L_{\text{int}}) \in \mathcal{A}[\lambda](\hbar)$ encodes the notion of “Heisenberg interaction picture” $F(\varphi_{\text{ret}}) = (F)_{\text{ret}}(\varphi)$ in a perturbative way, by **Bogoliubov formula**:

$$F \rightarrow (F)_{\text{ret}} = \left. \frac{\hbar}{i} \frac{d}{d\kappa} (S(\lambda L_{\text{int}})^{\star-1} \star S(\lambda L_{\text{int}} + \kappa F)) \right|_{\kappa=0}.$$


Interaction picture: time-ordered products

The time-ordered products are multilinear maps $T_n: \mathcal{A}^{\otimes n} \rightarrow \mathcal{A}[[\hbar]]$, that satisfy certain (physically motivated) **axioms**, and are used to define:

$$S(\lambda L_{\text{int}}) = 1 + \sum_{n=1}^{\infty} \frac{1}{n!} \left(\frac{i\lambda}{\hbar} \right)^n T_n(L_{\text{int}}^{\otimes n}).$$

If L_{int} is a **regular** field, then $T_n(L_{\text{int}}^{\otimes n}) = \underbrace{L_{\text{int}} \star_{\Delta^F} \cdots \star_{\Delta^F} L_{\text{int}}}_{n\text{-times}}$, where

$$(F \star_{\Delta^F} G)[\varphi] = \sum_{n=0}^{\infty} \frac{\hbar^n}{n!} \left\langle F^{(n)}[\varphi], (\Delta^F)^{\otimes n} * G^{(n)}[\varphi] \right\rangle.$$

 **Problem:** What happens if L_{int} is not a regular field?

The renormalization problem

For a general interaction Lagrangian $L_{\text{int}} \in \mathcal{A}$, one could naively try to compute

$$\check{T}_n(L_{\text{int}}(x_1) \otimes \cdots \otimes L_{\text{int}}(x_n)) \stackrel{?}{=} \underbrace{L_{\text{int}}(x_1) \star_{\Delta^F} \cdots \star_{\Delta^F} L_{\text{int}}(x_n)}_{n\text{-times}}$$

↓

$$(\Delta^F)^{n_{12}}(x_1 - x_2)(\Delta^F)^{n_{13}}(x_1 - x_3) \cdots (\Delta^F)^{n_{23}}(x_2 - x_3) \cdots$$

These products are defined, by **Hörmander's sufficient criterion**, only on:

$$\check{\mathbb{M}}_2^n = \{ (x_1, \dots, x_n) \in \mathbb{M}_2^n \mid x_i \neq x_j, \forall 1 \leq i < j \leq n \}.$$

Fact: Renormalization is the inductive (on $n \geq 1$) construction of $T_n(L_{\text{int}}^{\otimes n})$:

- ⊙ by inductive hypothesis (and **axioms**), $\check{T}_n(L_{\text{int}}^{\otimes n})$ is defined on $\mathbb{M}_2^n \setminus \Delta_n$;
- ⊙ to complete the inductive step, \check{T}_n is extended to the whole \mathbb{M}_2^n .

Scaling degree of distributions

Definition

The scaling degree of $t \in \mathcal{D}'(\mathbb{R}^d \setminus \{0\})$ in 0 is: $\text{sd}(t) = \inf \{ r \in \mathbb{R} \mid \lim_{\rho \downarrow 0} \rho^r t(\rho x) = 0 \}$.

Theorem (Brunetti, Fredenhagen, Epstein, Glaser,...)

Let $t^0 \in \mathcal{D}'(\mathbb{R}^d \setminus \{0\})$. Then:

- ⊙ If $\text{sd}(t^0) < d \Rightarrow \exists!$ extension $t \in \mathcal{D}'(\mathbb{R}^d)$ s.t. $\text{sd}(t) = \text{sd}(t^0)$.
- ⊙ If $d \leq \text{sd}(t^0) < \infty \Rightarrow$ There are several extensions $t \in \mathcal{D}'(\mathbb{R}^d)$ s.t. $\text{sd}(t) = \text{sd}(t^0)$.
Given a particular extension \bar{t} , the general extension t is of the form

$$t = \bar{t} + \sum_{|a| \leq \text{sd}(t_0) - d} C_a \partial^a \delta, \quad C_a \in \mathbb{C}.$$

Renormalizability of interacting fields

Unrenormalized retarded functionals are given by **Bogoliubov formula**, on $\mathbb{M}_2^{n+1} \setminus \Delta_{n+1}$:

$$(\check{F})_{\text{ret}} = \frac{\hbar}{i} \frac{d}{d\kappa} (\check{S}(\lambda L_{\text{int}})^{\star-1} \star \check{S}(\lambda L_{\text{int}} + \kappa F)) \Big|_{\kappa=0} = \sum_{n=0}^{\infty} \frac{\lambda^n}{n! \hbar^n} \check{R}_n(L_{\text{int}}^{\otimes n}, F).$$

The unrenormalized retarded products \check{R}_n are then inductively extended to \mathbb{M}_2^{n+1} , $\forall n \geq 1$.

Definition

Consider $(\check{F})_{\text{ret}}$ as above. Let $N(L_{\text{int}}, F, \cdot): \mathbb{N} \rightarrow \mathbb{N}$ be defined as: $N(L_{\text{int}}, F, 0) = 0$,

$$N(L_{\text{int}}, F, n) = \max \{0, \text{sd}(\check{R}_n(L_{\text{int}}^{\otimes n}, F)) - 2n - N(L_{\text{int}}, F, n-1) + 1\}, \quad n \geq 1.$$

The unrenormalized retarded functional $(\check{F})_{\text{ret}}$ is:

- (a) **renormalizable by power counting** if $N(L_{\text{int}}, F, \cdot)$ is bounded;
- (b) **super-renormalizable by power counting** if the number of non-vanishing values of $N(L_{\text{int}}, F, \cdot)$ is finite.

The sine-Gordon model in pAQFT

⊙ Interaction Lagrangian:

$$L_{\text{int}} = \cos(a\varphi) = \frac{1}{2}(e^{ia\varphi} + e^{-ia\varphi}) \xrightarrow{\text{pAQFT}} \frac{1}{2}(V_a + V_{-a}) \in \mathcal{A}.$$

⊙ Vertex operators: $V_a: (g \in C_c^\infty(\mathbb{M}_2), \varphi \in \mathcal{E}(\mathbb{M}_2)) \mapsto V_a(g)[\varphi] = \int_{\mathbb{M}_2} e^{ia\varphi} g.$

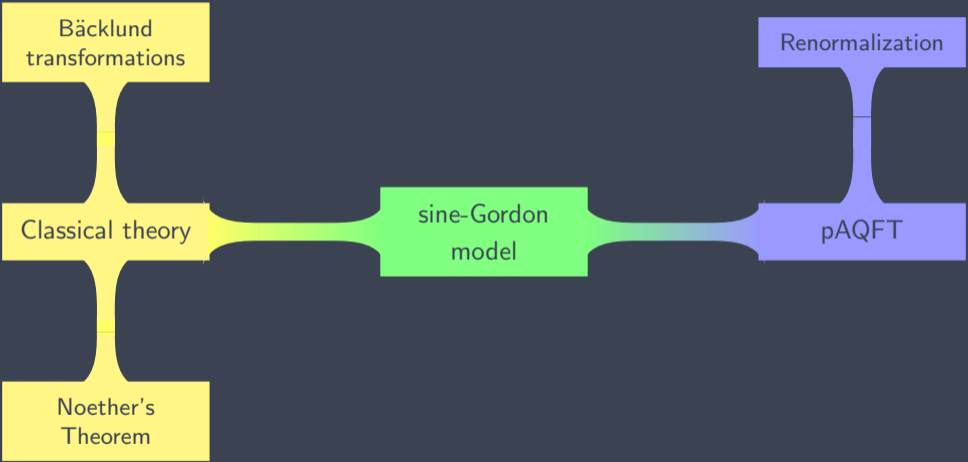
⊙ S-matrix:

$$S(\lambda L_{\text{int}}) = 1 + \sum_{n=1}^{\infty} \frac{1}{n!} \left(\frac{i\lambda}{2\hbar} \right)^n T_n \underbrace{(V_{\pm a} \otimes \cdots \otimes V_{\pm a})}_{n\text{-times}} \in \mathcal{A}[\lambda][[\hbar]].$$

⊙ Observables: components of $s^N = (s_1^N)d\tau + (s_2^N)d\xi$ act in the following way

$$s_{1,2}^N: (g \in C_c^\infty(\mathbb{M}_2), \varphi \in \mathcal{E}(\mathbb{M}_2)) \mapsto s_{1,2}^N(g)[\varphi] = \int_{\mathbb{M}_2} s_{1,2}^N(\varphi) g.$$

The sine-Gordon model in perspective IV



First main result

We consider the unrenormalized retarded components

$$(\check{s}_{1,2}^N)_{\text{ret}} = \frac{\hbar}{i} \frac{d}{d\kappa} (\check{S}(\lambda L_{\text{int}})^{\star-1} \star \check{S}(\lambda L_{\text{int}} + \kappa s_{1,2}^N)) \Big|_{\kappa=0} = \sum_{n=0}^{\infty} \frac{\lambda^n}{n! \hbar^n} \check{R}_n(L_{\text{int}}^{\otimes n}, s_{1,2}^N).$$

Theorem

The scaling degree of the unrenormalized retarded products above is uniformly bounded by the degree of the components. More specifically, for every $n \geq 1$ it holds:

$$\text{sd}(\check{R}_n(L_{\text{int}}^{\otimes n}, s_1^N)) = \text{deg}(s_1^N) = 2N,$$

$$\text{sd}(\check{R}_n(L_{\text{int}}^{\otimes n}, s_2^N)) = \text{deg}(s_2^N) = 2(N+1).$$

Super-renormalizability

Corollary

The unrenormalized retarded components $(\check{s}_{1,2}^N)_{\text{ret}}$ are super-renormalizable by power counting.

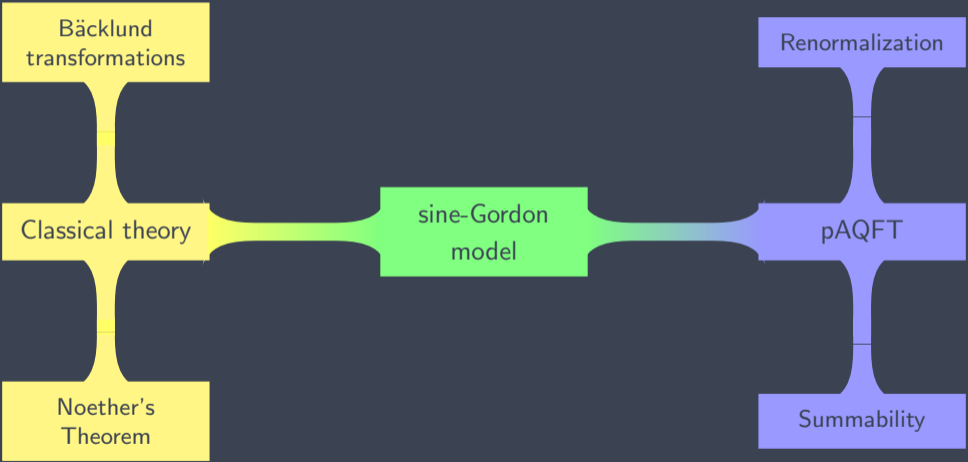
💡 Idea: The uniform bound on the scaling degree of the retarded products implies:

$$\begin{aligned} N(L_{\text{int}}, s_1^N, n) &= \text{sd} \left(\check{R}_n(L_{\text{int}}^{\otimes n}, s_1^N) \right) - 2n - N(L_{\text{int}}, s_1^N, n-1) + 1 \\ &= 2N - 2n - N(L_{\text{int}}, s_1^N, n-1) + 1 \leq 2N - 2n + 1, \end{aligned}$$

and

$$\begin{aligned} N(L_{\text{int}}, s_2^N, n) &= \text{sd} \left(\check{R}_n(L_{\text{int}}^{\otimes n}, s_2^N) \right) - 2n - N(L_{\text{int}}, s_2^N, n-1) + 1 \\ &= 2(N+1) - 2n - N(L_{\text{int}}, s_2^N, n-1) + 1 \leq 2(N+1) - 2n + 1. \end{aligned}$$

The sine-Gordon model in perspective V



Gaussian states and summability of the S-matrix

Definition

Fix a configuration $\varphi \in \mathcal{E}(\mathbb{M}_2)$. The Gaussian state ω_φ is the evaluation map:

$$\begin{aligned}\omega_\varphi: \mathcal{A}[[\hbar, \lambda]] &\rightarrow \mathbb{C}[[\hbar, \lambda]] \\ F &\mapsto \omega_\varphi(F) = F[\varphi].\end{aligned}$$

Theorem (Bahns, Rejzner)

Under proper technical conditions, there exists a constant $C = C(\gamma, f)$, $f \in \mathcal{E}(\mathbb{M}_2^n)$, such that for all n , the expectation value of the n -th order contribution to the S-matrix of sine-Gordon model in the state ω_φ satisfies the following inequality:

$$|\omega_\varphi(S_n(L_{\text{int}})(f))| = |S_n(L_{\text{int}})(f)[\varphi]| \leq \frac{\left[\frac{n}{2}\right] C^n}{\left(\left[\frac{n}{2}\right]!\right)^{1-\frac{1}{\gamma}}}$$

Second main result

Consider the renormalized retarded components $(s_{1,2}^N)_{\text{ret}} = \sum_{n=0}^{\infty} \lambda^n \underbrace{\frac{1}{n! \hbar^n} \mathcal{R}_n(L_{\text{int}}^{\otimes n}, s_{1,2}^N)}_{\mathcal{R}_n(L_{\text{int}}, s_{1,2}^N)}$.

Theorem

Under the same hypothesis as above, there exist two pairs of constants $\mathcal{K}_{\gamma, f, a\hbar, N}^{s_1}, \mathcal{C}_{\gamma, f}^{s_1}$ and $\mathcal{K}_{\gamma, f, a\hbar, N}^{s_2}, \mathcal{C}_{\gamma, f}^{s_2}$ such that for all $n \geq 1$, the expectation values $\omega_{\varphi}(\mathcal{R}_n(L_{\text{int}}, s_{1,2}^N)(f))$ satisfy the inequalities:

$$|\omega_{\varphi}(\mathcal{R}_n(L_{\text{int}}, s_1^N)(f))| = |\mathcal{R}_n(L_{\text{int}}, s_1^N)(f)[\varphi]| \leq \mathcal{K}_{\gamma, f, a\hbar, N}^{s_1} \frac{(n+1)^2 n^{2N} (\mathcal{C}_{\gamma, g}^{s_1})^n}{([\frac{n}{2}]!)^{1-\frac{1}{\gamma}}},$$

$$|\omega_{\varphi}(\mathcal{R}_n(L_{\text{int}}, s_2^N)(f))| = |\mathcal{R}_n(L_{\text{int}}, s_2^N)(f)[\varphi]| \leq \mathcal{K}_{\gamma, f, a\hbar, N}^{s_2} \frac{[\frac{n}{2}] n^{2N} (\mathcal{C}_{\gamma, f}^{s_2})^n}{([\frac{n}{2}]!)^{1-\frac{1}{\gamma}}},$$

Some future research directions

- ⊙ **Conservation and involutivity:** Classically, the higher currents are conserved on-shell. Also, they are in involution w.r.t. the Peierl's bracket. In pAQFT, can renormalization be done in such a way to preserve conservation and involutivity?
 - 💡 **Idea:** Adopt the point of view of **Bahns** and **Wrochna** in the analysis of the extensions of distributions satisfying a given set of PDEs.
- ⊙ **Symmetries:** Is it possible to formulate the mechanism of production of the (classical) higher currents in a more general mathematical framework?
 - 💡 **Idea:** Noether's Theorem for actions of **Lie groupoids** of symmetries, **multisymplectic geometry** ...

“The art of doing mathematics consists in finding that special case which contains all the germs of generality.”

David Hilbert

