

# Example: Spin Chains

Consider  $Z_N = Z/NZ$ .

Configuration space / path space

action / energy

$$S_N(\sigma) \stackrel{\text{def}}{=} \sum_{i=1}^N |\sigma(i+1) - \sigma(i)|^2 + \sum_{i=1}^N P(\sigma(i))$$

poly bounded below

$\mathbb{R}^N \cong \{\text{functions } Z_N \rightarrow \mathbb{R}\}$   
 $\sigma \in \mathbb{R}^N, i \mapsto \sigma(i) \in \mathbb{R} = \text{a config.}$   
 $(\{\pm 1\}^N \hookrightarrow \text{Ising model})$

Gibbs measure

$$d\mu_{P(\sigma)}(\sigma) \stackrel{\text{def}}{=} \frac{1}{Z(N)} e^{-S(\sigma)} d^N \sigma, \quad \text{with } Z(N) = \int_{\mathbb{R}^N} e^{-S_N(\sigma)} d^N \sigma$$

partition function

Employ "splitting trick"

$$\exp(-S_N(\sigma)) = \prod_{i=1}^N K(\sigma(i+1), \sigma(i)) \quad \text{with} \quad K(x, y) = e^{-|x-y|^2 - \frac{1}{2}(P(x)+P(y))}$$

$$Z(N) = \int \prod_{i=1}^N [K(\sigma(i+1), \sigma(i)) d\sigma(i)] = \text{tr}_{L^2(\mathbb{R})}(T^N)$$

"transfer operator"

$$(TF)(x) = \int K(x, y) F(y) dy$$

But what's the kernel of  $T^N$ ?

$F \in L^2(\mathbb{R})$ , "functional on Config. above 1 site."  
 $F(\sigma(i))$

$$K_N(\sigma_{\text{out}}, \sigma_{\text{in}}) = \int K(\sigma_{\text{out}}, \sigma(N)) \cdots K(\sigma(2), \sigma_1) \prod_{i=2}^N d\sigma(i) \quad (\#)$$

Path integral

$$= \int_{\mathbb{R}^{N-1}} e^{-S_N(\sigma|\sigma_{\text{in}}, \sigma_{\text{out}})} \prod_{i=2}^N d\sigma(i), \quad S_N(\sigma|\sigma_{\text{in}}, \sigma_{\text{out}}) \stackrel{\text{def}}{=} \sum_{i=1}^N |\sigma(i+1) - \sigma(i)|^2 + \sum_{i=2}^N P(\sigma(i)) + \frac{1}{2}(P(\sigma_{\text{in}}) + P(\sigma_{\text{out}}))$$

Composition property  $\Rightarrow$

$$K_{N_2+N_1}(\sigma_{\text{out}}, \sigma_{\text{in}}) = \int K_{N_2}(\sigma_{\text{out}}, \sigma) K_{N_1}(\sigma, \sigma_{\text{in}}) d\sigma. \quad (S)$$

★ obvious because of (#). aka we know  $K_N$  is kernel of  $T^N$ .

⊙ How about the other way round? define directly with



Goal. a 2-D, continuum, curved version of (S). w/ inward/outward pointing Co-orient.

$(\Omega, g)$  = Riemannian surface w/ bdy  $\partial\Omega = \Sigma_{\text{in}} \cup \Sigma_{\text{out}}$ .

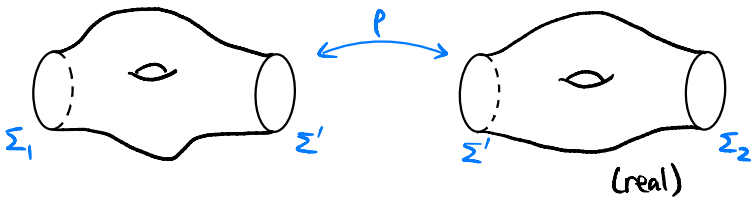
Config. space =  $\mathcal{D}'(\Omega)$ , config  $\phi \in \mathcal{D}'(\Omega) \leftrightarrow \sigma \in \mathbb{R}^N$ .

$$A_\Omega(\varphi_{\text{in}}, \varphi_{\text{out}}) = \int_{\{\phi|\partial\Omega=(\varphi_{\text{in}}, \varphi_{\text{out}})\}} e^{-\int_\Omega \frac{1}{2}(|\nabla\phi|_g^2 + m^2\phi^2) + P(\phi(x)) dV_\Omega(x)} \mathcal{L}\phi$$


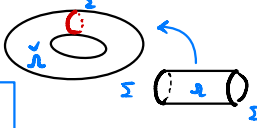
non-existent Lebesgue measure

Let  $\Omega_1, \Omega_2$  2 surfaces as above,  $\partial\Omega_1 = \Sigma_1 \cup \Sigma_1'$ ,  $\partial\Omega_2 = \Sigma_1' \cup \Sigma_2$ ,  $\Omega_1 \cup_p \Omega_2 = \text{surface glued along } \Sigma_1' \xrightarrow{p} \Sigma_1'$ .

⊙  $\mathcal{A}_{\Omega_1 \cup_p \Omega_2}(\varphi_2, \varphi_1) \stackrel{?}{=} \int \mathcal{A}_{\Omega_2}(\varphi_2, \varphi) \mathcal{A}_{\Omega_1}(\varphi, \varphi_1) \mathcal{L}\varphi$



→ Segal's axioms.  
 rules that define abstractly a QFT (CFT)

- ① Circle  $\Sigma \rightsquigarrow$  Hilbert space  $\mathcal{H}_\Sigma$ .  
 $\Sigma, \cup \Sigma_2 \rightsquigarrow \mathcal{H}_{\Sigma_1} \otimes \mathcal{H}_{\Sigma_2}$ .
- ② Cobordism   $\rightsquigarrow U_\Sigma: \mathcal{H}_{\Sigma_1} \rightarrow \mathcal{H}_{\Sigma_2}$ .
- ③  $Z_\Sigma = \text{tr}(U_\Sigma)$ . 
- ④  $U_{\Sigma_2} U_\Sigma U_{\Sigma_1} = U_{\Sigma_2} \circ U_{\Sigma_1}$

⑤  $U_\Sigma^* = U_\Sigma^\dagger$ .

↳ co-orient. reversed.

Previously for  $P(\phi)$ , Pickrell '08  
 Related e.g. Liouville theory  
 GKRV '21.  
 Perturbative approach: Kandel  
 -Mnev  
 -Wernli '21.

Case  $P=0$ . First let  $M =$  closed surface.

→  $e^{-\frac{1}{2} \int_M (|\nabla \phi|_g^2 + m^2 \phi^2) dV_M} [\mathcal{L}\phi] \stackrel{\text{heu}}{=} \text{"det"}(\Delta + m^2)^{-\frac{1}{2}} d\mu_{\text{GFF}}^M(\phi)$

Idea:  $\int_{\mathbb{R}^N} e^{-\frac{1}{2} \langle x, C^{-1} x \rangle} d\mathcal{L}^N(x) = \frac{(2\pi)^{N/2}}{(\det C^{-1})^{1/2}}$  a Gaussian Prob. measure on  $\mathcal{E}(M)$ .  
 ↳  $\downarrow$   
 so-dim'l generalization of determinant. ( $\xi$ -reg. det.)

(massive) Gaussian Free Field (GFF) is a Prob. measure on  $\mathcal{D}'(M)$ .  $\mu_{\text{GFF}}^M$

S.t.  $\mathbb{E}[\phi(f)\phi(h)] = \langle f, (\Delta + m^2)^{-1} h \rangle_{L^2(M)}$ ,  $\mathbb{E}[\phi(f)] = 0$ ,  
 ↳ covariance operator.

$\forall$  Test functions  $f, h \in C^\infty(M)$ . aka.  $\phi \mapsto \langle \phi, f \rangle = \phi(f)$  defines a R.V. on sample space  $\mathcal{D}'(M)$ .

Existence: Bochner-Minlos. Uniqueness: Kolmogorov/Fourier transform.

(Many other equivalent constructions).

★  $\|\phi(f)\|_{L^2(\mu_{\text{GFF}})} = \|f\|_{H^{-1}(M)}$  ↳ Sobolev space.

⇒  $H^{-1}(M) =$  Gaussian Hilbert Space.  $H^{-1}(M) \hookrightarrow L^2(\mu_{\text{GFF}})$

(for Gaussian R.V.  $F \perp_{L^2} G \Leftrightarrow F, G$  indep)

↳  $\phi(f)$  makes sense as R.V.  $\forall f \in H^{-1}$   
 ? does not make sense as number!

↳ much bigger  
 contains  $\phi(f)^2$ ,  
 $e^{i\phi(f)}$ ,  $e^{\phi(f)}$ , etc.

Formally, take  $f = \delta_x$ ,  $h = \delta_y$ ,

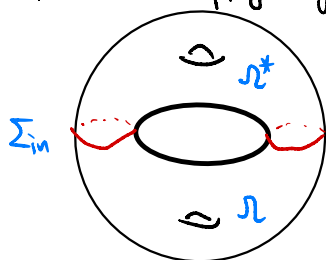
$\Rightarrow \mathbb{E}[\phi(x)\phi(y)] \approx G(x, y) = \text{Green's function.}$   
 (free) "propagator" in physics.

How to define  $\mathcal{A}_R^0(\varphi_{in}, \varphi_{out})$  for  $P=0$ ?

★  $\mathcal{A}_R^0$  is meant to be integrated against some measure on  $\mathcal{D}'(\Sigma_{in})$  or  $\mathcal{D}'(\Sigma_{out})$ .

$\Rightarrow$  its value will depend on choice of these measures.  
 (different choice being mutually abs. cont.)  
 $\Rightarrow$  value related by R-N densities.

Now, we apply Segal's rules (3) - (5).



$$\Rightarrow Z_{\left(\mu_{\Sigma_{out}}^{\nu_2} \mu_{\Sigma_{in}}^{\nu_1}\right)} = \text{tr} (U_{\Sigma^*} \circ U_{\Sigma})$$

$$\parallel \int \det \times \int d\mu_{\text{GFF}}^{\nu_1 \nu_2} \int |\mathcal{A}_R(\varphi, \psi)|^2 d\mu^2(\varphi) \otimes d\mu^2(\psi)$$

$\Rightarrow$  VERY simple minded choice:  $\mathcal{A}_R(\varphi, \psi) \equiv 1 \times \det \rightarrow \text{a const.}$

if we let  $d\mu^2(\varphi, \psi) \stackrel{\text{def}}{=} \underbrace{\tau_{\Sigma_{in} \cup \Sigma_{out}}^{\nu_1 \nu_2}}_{\text{measure image under "trace" (restriction)}}$

$\phi \mapsto (\phi|_{\Sigma_{in}}, \phi|_{\Sigma_{out}})$ .

⊗ this will NOT be the actual choice.

What is the law of a random field under restriction onto a hypersurface  $\Sigma \subseteq M$ ?

$\rightsquigarrow$  this will be a random dist. in  $\mathcal{D}'(\Sigma)$ .

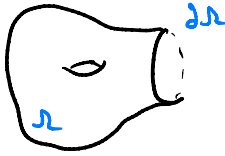
⊙ Is it Gaussian? What is the covariance?

Yes

$$\mathbb{E}[\phi|_{\Sigma}(x)\phi|_{\Sigma}(y)] = G|_{\Sigma \times \Sigma}(x, y) !$$

$\Rightarrow$  i.e. the cov. op. must have int. kernel =  $G|_{\Sigma \times \Sigma}$ .

⇒ this cov. op. is a "2-sided" version of what is called "Dirichlet-to-Neumann Operator".

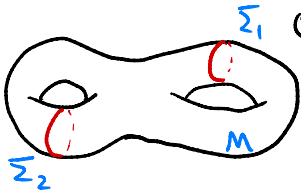


DN :  $C^\infty(\partial\Omega) \rightarrow C^\infty(\partial\Omega)$   
 $\varphi \mapsto \partial_\nu(\text{PI}\varphi)|_{\partial\Omega}$   
 1-sided version.  
 outwards normal  $\rightarrow$  Dirichlet data  $\rightarrow$  Neumann data.  
 Harmonic extension.

Moral.  $\mathcal{A}_\Omega$  is related to induced Prob. measures under restriction to hypersurface.



What is behind Segal's composition axiom?



$$\begin{aligned} & \textcircled{1} d\tau_{\Sigma_1 \cup \Sigma_2}(\mu_{\text{GFF}}^M)(\varphi_1, \varphi_2) \\ &= d\tau_{\Sigma_1}(\mu_{\text{GFF}}^M)(\varphi_1) \otimes d\mathbb{P}_{\tau_{\Sigma_2}\phi | \tau_{\Sigma_1}\phi = \varphi_1}(\varphi_2) \\ &= d\tau_{\Sigma_2}(\mu_{\text{GFF}}^M)(\varphi_2) \otimes d\mathbb{P}_{\tau_{\Sigma_1}\phi | \tau_{\Sigma_2}\phi = \varphi_1}(\varphi_1) \end{aligned}$$

↪ analogue of "Bayes formula".

② "Markov decomposition". for  $\Sigma \subseteq M$ .

$$\begin{aligned} \mu_{\text{GFF}}^M &= \text{PI} \circ \tau_\Sigma(\mu_{\text{GFF}}^M) \otimes \mu_{\text{GFF}}^{M \setminus \Sigma, \text{D}} \\ \phi &= \text{PI} \circ \tau_\Sigma \phi + \phi_{M \setminus \Sigma}^{\text{D}} \end{aligned} \quad \leftarrow \{f \in W^{-1} \mid \text{supp } f \subseteq \Sigma\}$$

Gaussian random field w/ covariance  $G_D(x, y) = \text{Green's func. w/ Dirichlet condition on } \Sigma$ .

$P \neq 0$ .  $\dim M = 2$ .  $\rightarrow$  define as R.V. in  $L^1(\mu_{\text{GFF}})$ .

$$\int e^{-\int_M \phi(x)^4 dx} d\mu_{\text{GFF}}^M(\phi) < \infty \quad \text{Nelson's argument, '60s.}$$

Recently, other approach from SPDE (regularity Str. / paracontrol) especially effective in  $\mathbb{R}^3 \leadsto \Phi_3^4$  theory

**Problem**  $\phi = \text{dist. low regularity}$ ,  $\phi^2, \phi^3$ , etc. not defined.

$\leadsto$  need renormalization.

Example. How to define  $\frac{1}{x} \cdot \mathbb{1}_{(0,+\infty)} \in \mathcal{D}'(\mathbb{R})$ ?

Idea:  $\exists$  distribution in  $\mathcal{D}'(\mathbb{R} \setminus \{0\})$  agrees w/  $\frac{1}{x} \cdot \mathbb{1}_{(0,+\infty)}$ .

Pick  $\varphi \in C_c^\infty(\mathbb{R})$ ,  $\varepsilon > 0$ , I.B.P.

$$\Rightarrow \int_\varepsilon^\infty \varphi(x) \frac{dx}{x} = - \int_\varepsilon^\infty \varphi'(x) \log(x) dx - \varphi(\varepsilon) \log(\varepsilon).$$

agree with  $\frac{1}{x} \cdot \mathbb{1}_{(0,+\infty)}$  for  $\varphi \in C_c^\infty(\mathbb{R} \setminus \{0\})$

$$\frac{1}{x} \cdot \mathbb{1}_{(0,+\infty)} - \infty \cdot \delta_0 = \text{renormalized version.}$$

In our case, <sup>①</sup> first regularize  $\phi_\varepsilon := K_\varepsilon \phi$ ,  $K_\varepsilon = \text{Smoothing Op.}$

② replace  $\phi_\varepsilon(x)^4 \leadsto : \phi_\varepsilon(x)^4 := \phi_\varepsilon(x)^4 - 6\mathbb{E}[\phi_\varepsilon(x)^2] \phi_\varepsilon(x)^2 + 3\mathbb{E}[\phi_\varepsilon(x)^2]^2$

$\leadsto$  corresponds to proj. of R.V.  $\phi_\varepsilon(x)^4$  onto  $\text{Sym}^4(H^{-1}(M))$  in  $L^2(\mu_{\text{GFF}})$ .

③ discover that  $\int_M : \phi_\varepsilon(x)^4 : dx \xrightarrow{\varepsilon \rightarrow 0} \text{well-defined R.V.} \in L^2(\mu_{\text{GFF}})$

$$\star \varepsilon, \varepsilon' > 0, \quad G_{\varepsilon, \varepsilon'}(x, y) = \text{Kernel} (K_\varepsilon^* (\Delta + m^2)^{-1} K_\varepsilon) \\ = \mathbb{E}[\phi_\varepsilon(x) \phi_{\varepsilon'}(y)]$$

$|G_{\varepsilon, \varepsilon'}(x, y)| \leq \text{integrable uniformly in } \varepsilon, \varepsilon'.$

Example.  $K_\varepsilon = e^{-\varepsilon(\sigma + m^2)}$ . Remember  $\dim M = 2!$

$\Rightarrow \left\{ \int_M : \phi_\varepsilon(x)^\dagger : dx \right\}$  Cauchy in  $L^2(\mu_{\text{eff}})$ .  
 $\parallel$   
 $S_{M,\varepsilon}$

④ Hypercontractivity.

$X = \text{deg} \leq n$  poly of Gaussian R.V.s

$$\Rightarrow \mathbb{E}[|X|^p]^{1/p} \leq (p-1)^{n/2} \mathbb{E}[X^2]^{1/2}$$

$\Rightarrow$  Cauchy in  $L^p$ ,  $\forall 1 \leq p < \infty$

⑤  $\mathbb{P}(e^{-S_{M,X}} \geq e^{b_2 |\log(2\varepsilon)|^{n+1}}) = \mathbb{P}(S_{M,X} \leq -b_2 |\log(2\varepsilon)|^{n+1})$

$$\leq \mathbb{P}(|S_{M,X} - S_{M,X,\varepsilon}| \geq 1)$$

$$\leq \|S_{M,X} - S_{M,X,\varepsilon}\|_{L^p(\mu_{\text{GFF}})}^p \leftarrow \text{Chebychev}$$

$$\leq (p-1)^{n/2} C_1^p \varepsilon^{n/2} \|\chi\|_{L^4}^p \leftarrow \text{③ \& ④, } \forall p!$$

$$\lesssim \|\chi\|_{L^4}^p p^{n/2} (C_1 \varepsilon^{1/2})^p,$$

$$\lesssim \exp(-C_2 (\varepsilon^{1/2} \|\chi\|_{L^4})^{-1/n}). \leftarrow \text{minimize over } 1 \leq p < \infty!$$

(Goal:  $e^{-S_{M,\varepsilon}} \in L^1$ )

⑥  $\mathbb{E}[e^{-S_{M,X}}] = \int_0^\infty \mathbb{P}(e^{-S_{M,X}} \geq t) dt \Rightarrow \text{trophy}$   
 $< \infty$

1 more step to show segal for  $P(\phi)$ :

(locality) of the interaction  $S_M$

Roughly speaking,  $M = A \cup B$ , then

$$\int_M : P(\phi(x)) : dx = \int_A : P(\phi(x)) : dx + \int_B : P(\phi(x)) : dx.$$

Some technicality:

①  $K_\varepsilon$  needs to be local.

②  $:X:$  needs to be local.

③ different  $K_\varepsilon$  define the same  $S_M$ .